

Information and Instructions**FCC Wireless Telecommunications Bureau
Application for Assignments of Authorization
and Transfers of Control****NOTICE TO INDIVIDUALS REQUIRED BY THE PRIVACY ACT OF 1974 AND
THE PAPERWORK REDUCTION ACT OF 1995**

We have estimated that each response to this collection of information will take on average 1.75 hours. Our estimate includes the time to read the instructions, look through existing records, gather and maintain required data, and actually complete and review the form or response. If you have any comments on this estimate, or on how we can improve the collection and reduce the burden it causes you, please write the Federal Communications Commission, AMD-PERF, Washington, DC 20554, Paperwork Reduction Project (3060-0800). We will also accept your comments via the Internet if you send them to jboley@fcc.gov. *Please do not send completed application forms to this address.*

You are not required to respond to a collection of information sponsored by the Federal government, and the government may not conduct or sponsor this collection unless it displays a currently valid OMB control number with this notice. This collection has been assigned OMB control number 3060-0800.

The FCC is authorized under the Communications Act of 1934, as amended, to collect the personal information we request in this form. We will use the information you provide to determine whether approving this application is in the public interest. If we believe there may be a violation or potential violation of a statute, FCC regulation, rule or order, your application may be referred to the Federal, state, or local agency responsible for investigating, prosecuting, enforcing or implementing the statute, rule, regulation or order. In certain cases, the information in your application may be disclosed to the Department of Justice or a court or adjudicative body when (a) the FCC; or (b) any employee of the FCC; or (c) the United States Government, is a party to a proceeding before the body or has an interest in the proceeding.

If you owe a past due debt to the Federal government, the Taxpayer Identification Number (*i.e.*, your Employer Identification Number or Social Security Number) and other information you provide may also be disclosed to the Department of Treasury Financial Management Service, other federal agencies and/or your employer to offset your salary, IRS tax refund or other payments to collect that debt. The FCC may also provide this information to these agencies through the matching of computer records when authorized.

In addition, all information provided in this form, except Taxpayer Identification Number, will be available for public inspection. If you do not provide the information we request on the form, the FCC may delay processing of your application or may return your application without action.

This notice is required by the Privacy Act of 1974, Public Law 93-579, December 31, 1974, 5 U.S.C. Section 552a(e)(3) and the Paperwork Reduction Act of 1995, Public Law 104-13, October 1, 1995, 44 U.S.C. 3507.



General Instructions

Purpose of Form

Form FCC 603 is a multi-purpose form. It is used to apply for approval of assignment or transfer of control of licenses in the Wireless Radio Services. The data collected on the form is used by the FCC to determine whether the public interest would be served by approval of the requested assignment or transfer. This form is also used to notify the Commission of consummated assignments and transfers of wireless licenses that have previously been consented to by the Commission or for which notification but not prior consent is required. The services that use this form include Public Mobile Services, Personal Communications Services, General Wireless Communications Services, Private Land Mobile Radio Services, Broadcast Auxiliary Services, Fixed Microwave Services, Maritime Services (excluding ships), and Aviation Services (excluding aircraft).

Introduction

Form FCC 603 is a multi-part form consisting of a main form and several optional schedules. Each application, amendment, or other request must contain only one Main Form (pages 1, 2, and 3) but may contain as few or as many of the optional schedules as necessary.

Main Form

The purpose of the Main Form is to obtain information sufficient to identify the parties to the proposed assignment or transfer, establish the parties' basic eligibility and qualifications, classify the filing, and determine the nature of the proposed service. The Main Form also contains the required certifications and signature blocks for both the assignor/transferor and the assignee/transferee. The Main Form is required for every application, amendment, and modification filed on Form FCC 603.

Schedules

The purposes of the optional schedules are as follows:

Schedule A (Schedule for Assignments of Authorization and Transfers of Control in Auctioned Services) This schedule is used when a license to be assigned or transferred was originally awarded following an FCC auction, and the original licensee or prior assignee obtained installment payments, bidding credits, or was otherwise subject to special provisions applicable to entrepreneurs, small businesses, or rural telephone companies. For assignments of authorization, this schedule establishes whether the assignee is eligible for the same special provisions as the assignor, or whether unjust enrichment provisions or other restrictions apply. For transfers of control, this schedule establishes whether the transfer, if approved, will affect the eligibility of the licensee for special provisions associated with the license prior to the transfer.

Schedule B (Partition and Disaggregation Schedule) This schedule is used for assignment applications in geographically licensed services that involve partitioning of the licensing area or disaggregation of the licensee's spectrum holdings. An applicant seeking to assign a partitioned area using FCC-defined geographic boundaries (i.e., FCC licensing area boundaries or county boundaries) or to assign a disaggregated portion of its licensed spectrum must use this schedule to identify the geographic area or spectrum (or both) that is the subject of the proposed transaction.

Schedule C (Undefined Geographical Area Partitioning Schedule) This schedule is used for partitioning applications in geographically licensed services where the partitioned area is defined based on licensee-generated coordinates rather than FCC-defined boundaries. It is used to enter the coordinates of locations that describe the periphery of a geographic area to be partitioned.

Schedule D (Notification of Consummation of Assignment of Authorization or Transfer of Control) This schedule is used to notify the FCC that an assignment of authorization or transfer of control has been consummated.

Schedule E (Request for Extension of Time for Consummation of Assignment of Authorization or Transfer of Control) This schedule is used to request additional time to consummate an assignment of authorization or transfer of control that will not be consummated in the time previously allotted by the Commission.

Who Must File FCC Form 603 and When

➤ Assignment of Authorization

An Assignment of an Authorization must be requested from the FCC when a license is assigned to another party. An Assignment of Authorization involves a complete transfer of controlling interest in ownership in the license and generally involves the changing of the Licensee name. Refer to the applicable FCC Rules for definitions of controlling interest and ownership.

For assignment of one or more authorizations, the assignor must apply for approval using this form, FCC Form 603. In the case of an involuntary assignment of authorization, the assignor must apply no later than 30 days after the event causing the assignment. Note that this form must be signed by both the assignor and assignee. In addition, the assignee must file FCC Wireless Telecommunications Bureau Ownership Form, FCC Form 602, unless current and up-to-date ownership information is already on file at the FCC.

The assignor may apply for authorization to assign either all of the facilities of a station or market or just a portion of these facilities or markets.

➤ **Full Assignment of Authorization**

When the authorization for all of the facilities of a station or market is assigned, this is called a *full assignment of authorization*. To apply for a full assignment, the assignor must complete the Authorizations To Be Assigned section.

➤ **Partial Assignment of Authorization**

When authorization for some, but not all, of the facilities of a station or market is assigned, this is called a *partial assignment of authorization*. Note the following:

If the assignment is a request to partition or disaggregate (or both) a market area in an auctionable service, also complete and attach the FCC Assignment Schedule for Auctionable Services, FCC Form 603 Schedule A; the Partition and Disaggregation Schedule, FCC Form 603, Schedule B; and, if required, the Undefined Geographic Area Schedule, FCC Form 603, Schedule C.

If the assignment is a request for a partial assignment in a site licensed service, also complete and attach the Authorizations to be Assigned or Transferred portion of FCC Form 603, using the appropriate fields to fully describe the partial assignment.

➤ **Transfer of Control**

A Transfer of Control must be requested from the FCC when the transfer of controlling interest in the ownership of a licensee entity to another party or parties occurs. A Transfer of Control involves a transfer of controlling interest in ownership but generally does not involve the changing of the Licensee name. Refer to the applicable FCC Rules for definitions of controlling interest and ownership.

To apply for transfer of control, the licensee must use this form, FCC Form 603. The licensee must also complete and attach an FCC Wireless Telecommunications Bureau Ownership Form, FCC Form 602, with exhibits describing how the ownership structure would be changed by the transfer of control.

➤ **One Hundred Eighty-Day Period**

Assignments of authorization and transfers of control must be consummated within 180 days of FCC approval, unless the FCC grants an extension of time to complete a specific transaction. To request that the FCC grant an extension of time to complete the transaction, file Application for Assignments of Authorization and Transfers of Control, FCC Form 603, and attach Schedule for Request for Extension of Time for Assignments of Authorization or Transfers of Control, FCC Form 603, Schedule E.

If an assignment of authorization or transfer of control is not consummated within 180 days, or within the time allowed under an extension, FCC approval of that transaction is no longer valid.

➤ **Notification of Consummation**

Upon consummation of any assignment of authorization, the assignee must notify the FCC of the date of consummation. Upon consummation of any transfer of control, the transferee must notify the FCC of the date of consummation. To notify the FCC that the transaction has been consummated, file Application for Assignments of Authorization and Transfers of Control, FCC Form 603, and attach Schedule for Notification of Consummation of Assignment of Authorization or Transfer of Control, FCC Form 603, Schedule D.

Information Current and Complete

Information filed with the FCC must be kept current and complete. The applicant must notify the FCC regarding any substantial and significant changes in the information furnished in the application(s). See Section 1.65 of the Commission's rules.

Applicable Rules and Regulations

Applicants should obtain the relevant parts of the FCC's rules in 47 CFR. Copies of 47 CFR may be purchased from the Superintendent of Documents; Government Printing Office; Washington, DC 20402; (202) 512-1800. Refer also to the Government Printing Office's Website at <http://www.access.gpo.gov>. Some FCC rules require applicants to attach one or more exhibits to an application in addition to the information requested in the application form.

Processing Fee and Filing Locations

A processing fee may be required with this form. To determine the required fee amount, refer to Subpart G of Part 1 of the Code of Federal Regulations (47 CFR Part 1, Subpart G) and the current Wireless Telecommunications Bureau Fee Filing Guide. For assistance with fees applicable to the radio services governed by the FCC's rules, call (202) 418-0220 or 1-888-CALLFCC (225-5322). The Fee Filing Guide can be downloaded from the FCC's Internet site @ <http://www.fcc.gov/fees/wtbguide.html> or obtained by calling the FCC's Forms Distribution Center at (800) 418-FORM (3676).

Paper applications requiring a fee must be submitted to Federal Communications Commission, Wireless Bureau Applications, P. O. Box 358130, Pittsburgh, PA 15251-5130.

Non-feeable paper applications should be mailed to Federal Communications Commission, 1270 Fairfield Road, Gettysburg, PA 17325-7245.

Packages

The Main Form and the applicable schedules should be submitted as one package, stapled in the upper left corner. The Main Form should be first with the schedules attached in alphabetical order.

Paper Copies

The number of paper copies of this application required to be filed is one original. Applicants filing electronically should follow procedures contained in online help files.

Exhibits

Each document required to be filed as an exhibit should be current as of the date of filing. Each page of every exhibit must be identified with the number or letter of the exhibit, the number of the page of the exhibit, and the total number of pages of the exhibit. If material is to be incorporated by reference, see the instruction on incorporation by reference.

Incorporation by Reference

You may incorporate by reference documents, exhibits, or other lengthy showings already on file with the FCC only if: the information previously filed is more than one 8½" by 11" page in length, and all information therein is current and accurate in all significant respects; the reference states specifically where the previously filed information can be found (i.e., station call sign and application file number, title of proceeding, docket number and legal citations), including exhibit and page references. Use the relevant item number followed by "A". Items that call for numbers, or which can be answered "Y" or "N" or other short answers must be answered directly without reference to a previous filing.

For Assistance

For assistance with this application, contact the Federal Communications Commission, 1270 Fairfield Road, Gettysburg, PA 17325-7245, call 1-888-CALLFCC (225-5322).

Electronic Filers

For technical assistance with filing electronically, contact the Wireless Telecommunications Bureau Technical Support line, (202) 414-1250.

Instructions for FCC 603 Main Form

➤Application Purpose

Item 1 Indicate the purpose for which the application is being filed by inserting the appropriate two-letter abbreviation from the following list. Only one purpose may be specified.

AA - Assignment of Authorization: To request an assignment of authorization. This purpose should only be used for initial applications.

TC - Transfer of Control: To request a transfer of control. This purpose should only be used for initial applications.

AM - Amendment: To amend a previously-filed, currently pending application. Use Item 2a to provide the File Number of the application. All appropriate schedules must be completed and attached, and must accurately reflect the amendment's data. See applicable Commission rules.

WD - Withdrawal: To withdraw a previously-filed, currently pending application. Use Item 2a to provide the File Number of the application. In addition to the purpose and File Number, only the Assignor/Transferor Certification area of this form (Items 81-83) must be completed.

NT - Required Notifications: To notify the FCC that, within the required time period an assignment of authorization or transfer of control has been consummated, file Application for Assignments of Authorization and Transfers of Control, FCC Form 603, and attach Schedule for Notification of Consummation of Assignment of Authorization or Transfer of Control, FCC Form 603, Schedule D.

EX - Request for Extension of Time: To request additional time to consummate an assignment of authorization or transfer of control, file Application for Assignments of Authorization and Transfers of Control, FCC Form 603, and attach Schedule for Request for Extension of Time for Assignments of Authorization or Transfers of Control, FCC Form 603, Schedule E.

Item 2a If the filing is an amendment or withdrawal of a previously-filed application, provide the File Number of the original application. This information can be obtained by contacting the FCC at 1-888-CALLFCC (225-5322).

Item 2b Enter file numbers of related pending applications currently on file with the FCC.

➤Type of Transaction Information

Item 3a Enter 'Y' in this item if the application is for *pro forma* assignment of authorization or transfer of control. Also complete Item 3b. Otherwise enter 'N'.

A *pro forma* assignment of authorization is one for which the actual controlling party does not change. For example, if an authorization is assigned by one wholly-owned subsidiary of a parent corporation to another wholly-owned subsidiary of the same corporation, this would be a *pro forma* assignment of authorization.

A *pro forma* transfer of control is one for which the actual controlling party does not change. For example, a corporate restructuring that changes the chain of ownership to the controlling corporation may give rise to a *pro forma* transfer of control of a licensee.

Item 3b Enter 'Y' in this item if this is a notification of a pro forma assignment or transfer of a telecommunications license that is subject to the Commission's streamlined forbearance procedures. See Federal Communications Bar Association's Petition for Forbearance from Section 310(d) of the Communications Act Regarding Non-Substantial Assignments of Licenses and Transfers of Control Involving Telecommunications Carriers Licensed by the Wireless Telecommunications Bureau, *Memorandum Opinion and Order*, 13 FCC Rcd. 6293 (1998). This purpose should be selected only where the assignment or transfer is a pro forma transaction that does not require prior Commission consent. Otherwise enter 'N'. This item can be answered only if 'Y' was entered in Item 3a.

Item 4 For Assignments of Authorization only: Enter 'Y' if this application is for a partition and/or a disaggregation of market based services. Otherwise enter 'N'. Refer to Page 3 of these instructions for detailed information regarding full and partial assignments.

Item 5 If the filing requests a waiver of the Commission's rules, enter 'Y' and attach an exhibit that lists the rule section(s) of the affected rules and explains the circumstances. Otherwise, enter 'N'.

Item 6 Enter 'Y' if attachments are being filed with this application. Otherwise, enter 'N'.

Note: Section 310(d) of the Communications Act requires the Commission to determine that the public interest, convenience, and necessity would be served by the proposed transfer or assignment. To enable the Commission to make this determination, applicants may in some instances be required to provide by means of an attachment additional information not specifically requested elsewhere on Form 603 to establish the qualifications of the assignee/transferee or to otherwise demonstrate that the proposed transaction is in the public interest. The burden is on the applicant to determine whether such additional information is necessary under Section 310(d) in light of the circumstances of the particular transaction. For example, if: 1) the application involves a non-pro forma assignment or transfer of a license in a subscriber-based service, and 2) the proposed assignee or transferee, its parent or any affiliate, directly or indirectly owns, controls, or holds an attributable interest in any other entity authorized to provide FCC-regulated subscriber-based services in a geographic area that overlaps the service area of a license that is the subject of the proposed assignment or transfer, the applicant should generally file an attachment with its Form 603 setting forth the factual circumstances and describing how the proposed transaction meets the public interest standard of Section 310(d). Applicants seeking further guidance on this issue are encouraged to contact the Wireless Telecommunications Bureau in advance of filing Form 603.

Item 7a This item requests applicants to indicate whether the proposed transaction involves the assignment or transfer of other wireless licenses not listed on the applicant's Form 603 that are held by the assignor/transferor or by affiliates of the assignor/transferor. For this purpose, "affiliates" is defined in 47 CFR § 1.2110(a)(4), and includes parents, subsidiaries, or commonly controlled entities. Where a transaction involves transfer or assignment of multiple licenses held by a common licensee, a single Form 603 should be filed whenever possible. Where a transaction involves transfer or assignment of licenses by affiliated licensees, a separate Form 603 must be filed for each affiliate, and each applicant must enter "Y" because the transaction that is the subject of its Form 603 application also involves transfer or assignment of wireless licenses held by affiliated licensees. Enter "N" if all of the licenses to be transferred or assigned as a result of the proposed transaction are listed on the applicant's Form 603. Affiliated applicants who seek to assign or transfer licenses as part of a common transaction are strongly encouraged to contact the Wireless Telecommunications Bureau in advance of filing Form 603.

Item 7b This item requests applicants to indicate whether the proposed transaction will result in the assignment or transfer of non-wireless as well as wireless licenses. For this purpose, "wireless" licenses are licenses in services that are covered by Form 603, and "non-wireless" licenses are licenses in any other FCC-regulated service (e.g., broadcast) for which a form other than Form 603 is used to request Commission approval of the assignment or transfer. Enter "Y" if the transaction that is the subject of the Form 603 application also involves transfer or assignment of non-wireless licenses for which Commission approval is required (regardless of whether the applicant has filed the relevant form(s) with respect to such non-wireless licenses). Enter "N" if all of the licenses to be transferred or assigned as a result of the proposed transaction are wireless licenses listed on Form 603. Applicants who seek to assign or transfer both wireless and non-wireless licenses are strongly encouraged to contact the Wireless Telecommunications Bureau in advance of filing Form 603.

►Transaction Information

Item 8 This item indicates how the assignment of authorization or transfer of control is to be accomplished. If the facilities are to be sold, or the stock of the licensee or the entity controlling the licensee is to be assigned or transferred to another entity, answer this item S. If the assignment of authorization or transfer of control is to be accomplished by court order, answer this item C. If O is indicated, the assignor or transferor must attach an exhibit that explains how the transfer is to be accomplished.

Item 9 This item indicates whether the assignment of authorization or transfer of control is voluntary or involuntary.

➤ **Licensee/Assignor Information**

Items 10 through 21 identify the person or entity that is applying to assign the authorizations or the licensee in a transfer of control.

Item 10a Enter the Taxpayer Identification Number of the licensee or the assignor. For individuals, enter the Social Security Number. For all other filers, enter the Employer Identification Number. This data is required to comply with the Debt Collection Improvement Act of 1996. This information will not be made available for public inspection.

Item 10b This item must be filled out if in addition to providing a TIN, you have obtained a Sub-Group Identification Number (SGIN) from the FCC. A SGIN is required in instances where the applicant or licensee does not have a unique TIN because it is a sub-group or department of the entity identified by the TIN (e.g., a governmental entity or academic institution) and therefore shares the TIN with other subgroups or departments of the same entity. The SGIN allows each sub-group to track the licenses it holds. The SGIN is not needed for entities that have a unique TIN that is not used by any other licensee.

Items 11-19 Complete either Item 11 or Item 12, depending on whether the licensee/assignor is an individual or company, respectively. You may enter a post office box number in Item 14 or a street address in Item 15, or enter information for both items. Enter the city, state, and zip code in Items 16, 17, and 18, respectively. Refer to FCC 603 Main Form Instructions, Appendix II, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 19.

Items 20 and 21 Enter the applicant's fax number and e-mail address, if desired and available.

Failure to respond to FCC correspondence sent to the address of record may result in dismissal of an application, liability for forfeiture, or revocation of an authorization.

➤ **Ethnicity, Race, and Gender question for Assignor/Licensee (Optional)**

Item 22 The information is optional and is requested for informational purposes only. Responses to this item will in no way affect processing of applications.

➤ **Transferor Information (for transfers of control only)**

Items 23 through 33 identify the person or entity that is applying for consent to transfer control of the license.

Item 23a Enter the Taxpayer Identification Number of the transferor. For individuals, enter the Social Security Number. For all other filers, enter the Employer Identification Number. This data is required to comply with the Debt Collection Improvement Act of 1996. This information will not be made available for public inspection.

Item 23b This item must be filled out if in addition to providing a TIN, you have obtained a Sub-Group Identification Number (SGIN) from the FCC. A SGIN is required in instances where the applicant or licensee does not have a unique TIN because it is a sub-group or department of the entity identified by the TIN (e.g., a governmental entity or academic institution) and therefore shares the TIN with other subgroups or departments of the same entity. The SGIN allows each sub-group to track the licenses it holds. The SGIN is not needed for entities that have a unique TIN that is not used by any other licensee.

Items 24-31 Complete either Item 24 or Item 25, depending on whether the transferor is an individual or company, respectively. You may enter a post office box number in Item 26 or a street address in Item 27, or enter information for both items. Enter the city, state, and zip code in Items 28, 29, and 30, respectively. Refer to FCC 603 Main Form Instructions, Appendix II, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 31.

Items 32 and 33 Enter the applicant's fax number and e-mail address, if desired and available.

Failure to respond to FCC correspondence sent to the address of record may result in dismissal of an application, liability for forfeiture, or revocation of an authorization.

➤ Name of Transferor Contact Representative

Items 34-43 These items identify the contact representative for the transferor, if different from the transferor. This is usually the headquarters office of a large company, the law firm or other representative of the assignee, or the person or company that prepared or submitted the application on behalf of the assignee. If there is a question about the application, an FCC representative will communicate with the assignee's contact representative.

If this section is used, a name (Item 34) and telephone number (Item 41) are required at a minimum. If the address items are completed, you may enter a post office box number in Item 36 or a street address in Item 37, or enter information for both items.

➤ Assignee/Transferee Information

Items 44 through 58 identify the person or entity that is applying to become or control the licensee of the authorizations listed in this application. For an assignment of authorization, the information provided in these items will become the licensee's name, address, and telephone number of record, and the authorization will be sent to this address. The assignee is the party that will become the new licensee if the application is granted. In an application for consent to transfer control of the license, the transferee is the party that will have controlling interest in ownership of the license.

Item 44 This item indicates the legal entity type of the applicant, whether assignee or transferee. Enter 'I' for Individual, 'U' for Unincorporated Association, 'T' for Trust, 'G' for Government Entity, 'J' for Joint Venture, 'C' for Corporation, 'L' for Limited Liability Corporation, 'P' for Partnership, or 'O' for Consortium.

Item 45a Enter the Taxpayer Identification Number of the assignee/transferee. For individuals, enter the Social Security Number. For all other filers, enter the Employer Identification Number. This data is required to comply with the Debt Collection Improvement Act of 1996. This information will not be made available for public inspection.

Item 45b This item must be filled out if in addition to providing a TIN, you have obtained a Sub-Group Identification Number (SGIN) from the FCC. A SGIN is required in instances where the applicant or licensee does not have a unique TIN because it is a sub-group or department of the entity identified by the TIN (e.g., a governmental entity or academic institution) and therefore shares the TIN with other subgroups or departments of the same entity. The SGIN allows each sub-group to track the licenses it holds. The SGIN is not needed for entities that have a unique TIN that is not used by any other licensee.

Items 46-47 Complete either Item 46 or Item 47, depending on whether the licensee/transferee is an individual or company, respectively.

Item 48 For assignments of authorization only: Assignees must identify a real party (parties) in interest if different from the applicant. If the applicant is also the real party in interest, enter the applicant's name in this item. If a party other than the applicant is the real party in interest (e.g., a parent or other controlling entity), enter that party's name in this item. If there is more than one real party in interest, attach an exhibit detailing all parties in interest.

The Real Party in Interest is defined as a person who "has an ownership interest, or will be in a position to actually or potentially control the operation of the station." *Astroline Communications Company Limited Partner v. FCC*, 857 F.2d 1556, 1564 (D.C. Cir. 1988); *see also*

In Re Applications of Georgia Public Telecommunications Commission, et al., MM Docket No. 89-337, 7 FCC Rcd 7996 (rel. Dec. 9, 1992); *In Re Applications of Madalina Broadcasting, et al.*, MM Docket No. 91-100, 8 FCC Rcd 6344 (rel. September 3, 1993).

Item 49 For assignments of authorization only: Enter the Taxpayer Identification Number for the Real Party in Interest. For individuals, enter the Social Security Number. For all other filers, enter the Employer Identification Number. This information will not be made available for public inspection.

Items 50-56 Enter the name, address, and telephone number of the person to whom the FCC should send correspondence. You may enter a post office box number in Item 51 or a street address in Item 52, or enter information for both items. Enter the city, state, and zip code in Items 53, 54, and 55, respectively. Refer to FCC 603 Main Form Instructions, Appendix II, for a list of valid state, jurisdiction, and area abbreviations. Enter a telephone number, including area code, in Item 56.

Items 57 and 58 Enter the assignee/transferee fax number and e-mail address, if desired and available.

Failure to respond to FCC correspondence sent to the address of record may result in dismissal of an application, liability for forfeiture, or revocation of an authorization.

➤Assignee/Transferee Contact Representative

Items 59-68 These items identify the contact representative for the assignee/transferee, if different from the assignee/transferee. This is usually the headquarters office of a large company, the law firm or other representative of the assignee/transferee, or the person or company that prepared or submitted the application on behalf of the assignee/transferee. If there is a question about the application, an FCC representative will communicate with the assignee's/transferee's contact representative.

If this section is used, a name (Item 59) and telephone number (Item 66) are required at a minimum. If the address items are completed, you may enter a post office box number in Item 61 or a street address in Item 62, or enter information for both items.

➤Alien Ownership Questions

These items enable the FCC to determine whether an applicant is eligible under Section 310(a) and (b) of the Communications Act of 1934, as amended, to hold or have ownership interest in a station license. Assignees/transferees are required to answer these questions only if they are filing Form 603 for one of the following purposes indicated in Item 1: Assignment of Authorization or Transfer of Control. Assignees/transferees using Form 603 for any other purpose are not required to answer these questions.

Item 69 All assignees/transferees filing Form 603 for one of the purposes indicated above must answer Item 69. The FCC cannot grant an authorization to a foreign government or the representative of a foreign government. Therefore, if the true and correct answer to Item 69 is 'Yes', the assignee/transferee is not eligible for a license and the FCC will dismiss the application, if filed, without further consideration.

Items 70-73 Only applicants to provide or have ownership interest in common carrier services must answer Items 70-73. The FCC cannot grant an authorization to provide common carrier service to any applicant for which the true and correct answer to any of Items 70, 71, or 72 is 'Yes.' The FCC will dismiss the application, if filed, without further consideration.

If the true and correct answer to Item 73 is 'Yes', the assignee/transferee must attach an exhibit explaining the circumstances. In the exhibit, the assignee/transferee must demonstrate how allowing the assignee/transferee to hold or have ownership interest in the requested license is consistent with the Commission's policies pursuant to Section 310(b)(4) of the Communications Act of 1934. In general, the Commission has indicated that there is a strong presumption that indirect foreign ownership of common carrier radio licensees by entities whose home markets are in countries that are members of the World Trade Organization (WTO) serves the public interest. If more than 25 percent of the ownership of an entity that controls a common carrier radio licensee is attributable to parties whose home markets are in non-WTO member countries, the Commission will evaluate whether those markets offer effective competitive opportunities to U.S. investors in the same service sector. See Rules and Policies on Foreign Participation in the U.S. Telecommunications Market, I Docket No. 97-142, Report and Order and Order on Reconsideration, FCC 97-398, 12 FCC Rcd 23,891, ¶¶ 97-118, 131 (1997).

➤Basic Qualification Questions

Items 74-77 These items enable the FCC to determine whether an assignee/transferee is eligible under Section 310(a) and (b) of the Communications Act of 1934, as amended, to hold or have ownership interest in a station license. Assignees/transferees are required to answer these questions only if they are filing Form 603 for one of the following purposes indicated in Item 1: Assignment of Authorization or Transfer of Control. Assignees/transferees using Form 603 for any other purpose are not required to answer these questions. If the answer to any one or more of Items 74-77 is 'Yes', attach as an exhibit a statement explaining the circumstances and a statement giving the reasons why the assignee/transferee believes that grant of the application would be in the public interest notwithstanding the actual or alleged misconduct. If the assignee or transferee responds 'Yes' to any question and has previously provided a statement and explanation regarding the circumstances as an attachment to a prior application filed in ULS, and the facts and circumstances are unchanged, the applicant may refer to the previous application by identifying the application file number and indicating the disposition of the prior application.

➤Ethnicity, Race, and Gender question for Assignee/Transferee (Optional)

Item 78 The information is optional and is requested for informational purposes only. Responses to this item will in no way affect processing of applications.

➤ Assignor/Transferor Certification Statements

By signing this form, the assignor/transferor certifies that the statements listed in this section are true, complete, correct, and made in good faith.

Items 79-81 These items must be completed. To be acceptable for filing, applications and amendments must be signed in accordance with Part 1 of the FCC rules. The signor must be a person authorized to sign the application. Paper originals of applications must bear an original signature. On paper originals, neither rubber-stamped nor photocopied signatures are acceptable. For filers filing electronically via ULS, the electronic signature shall consist of the name of the applicant typed on the application as a signature.

➤ Assignee/Transferee Certification Statements

By signing this form, the assignee/transferee certifies that the statements listed in this section are true, complete, correct, and made in good faith.

Items 82-84 These items must be completed. To be acceptable for filing, applications and amendments must be signed in accordance with Part 1 of the FCC rules. The signor must be a person authorized to sign the application. Paper originals of applications must bear an original signature. On paper originals, neither rubber-stamped nor photocopied signatures are acceptable. For filers filing electronically via ULS, the electronic signature shall consist of the name of the applicant typed on the application as a signature.

➤ Authorizations To Be Assigned

If this is an application for full assignment of authorizations, use this section to identify the authorizations to be assigned (complete only Item 85, call signs). Complete at least one entry of this section and use a separate entry for each authorization. If necessary, attach additional copies to list more authorizations.

If this is an application for a partial assignment of authorization in a site-licensed service, use this section to identify the authorizations to be assigned (Complete Items 85-90, as appropriate). Complete at least one entry of this section and use a separate entry for each authorization. If necessary, attach additional copies to list more authorizations.

Item 85 In this column list the Call Signs of the authorizations to be assigned. Call signs are located on FCC Authorizations.

Note: Assigning call signs without specifying which specific locations, paths (Microwave Services only), or frequencies are to be assigned for that call sign will result in all locations, paths, and frequencies for that call sign being assigned. Use Item 86 to specify which (if any) specific locations are to be assigned. Use Item 87 to specify which (if any) specific paths (Microwave Services only) are to be assigned. Use Items 88 and 89 to specify which (if any) specific frequencies are to be assigned.

Item 86 In this item list the location numbers to be assigned. Location numbers are located on FCC Authorizations.

Note: Assigning locations without specifying which specific frequencies are to be assigned for that location will result in all frequencies at that location being assigned. Use Items 88 and 89 to specify which (if any) specific frequencies are to be assigned.

Item 87 In this item list the path numbers to be assigned (Microwave Services only). Path numbers are located on FCC Authorizations.

Note: Assigning paths without specifying which specific frequencies are to be assigned for that path will result in all frequencies on that path being assigned. Use Items 88 and 89 to specify which (if any) specific frequencies are to be assigned.

Item 88 and 89 In this item list the frequencies, in Megahertz (MHz), to be assigned. Enter the center frequency, or the lower frequency of a frequency band, in Item 88. If applicable, enter the upper frequency of a frequency band in Item 89. Frequencies are located on FCC Authorizations.

Item 90 This column indicates whether the facilities listed have been constructed.

Instructions for Schedule for Assignments of Authorization and Transfers of Control in Auctioned Services, FCC Form 603, Schedule A

FCC Form 603, Schedule A, is a supplementary schedule for use with the FCC Wireless Telecommunications Bureau Application for Assignments of Authorization and Transfers of Control, FCC Form 603. Complete this schedule when the authorizations to be assigned or transferred are geographically licensed services and have been won in an FCC auction by either the assignor or a previous owner (assignments of authorization) or by the licensee (transfers of control).

➤ Assignee Eligibility for Installment Payments

Item 1 Refer to the appropriate FCC Auction rules and to the FCC's rules in Title 47 of the Code of Federal Regulations (47 CFR). If the assignee is claiming the same category or a smaller category of eligibility for installment payments, enter 'Y', then answer the additional question about installment payments.

➤ Gross Revenues and Total Assets Information

Item 2 Refer to the FCC's rules in 47 CFR. If gross revenues and total assets information is required, 47 CFR describes the methods by which this information should be computed.

➤ Certifications

By signing the main form, FCC Form 603, the assignee certifies that the applicable statements listed in this section are true, complete, correct, and made in good faith.

➤ Licensee Eligibility

Item 4 Refer to the appropriate FCC Auction rules and to the FCC's rules in Title 47 of the Code of Federal Regulations (47 CFR). If the licensee must, as a result of the transfer of control, now claim a larger or higher category of eligibility for installment payments, enter 'Y' and enter the new category of eligibility.

➤ Certifications

By signing the main form, FCC Form 603, the transferee certifies that the statement listed in this section is true, complete, correct, and made in good faith.

Instructions for Partition and Disaggregation Schedule FCC Form 603, Schedule B

FCC Form 603, Schedule B, is a supplementary schedule for use with the FCC Wireless Telecommunications Bureau Application for Assignments of Authorization and Transfers of Control, FCC Form 603. Use this schedule to identify the portions of a market that are to be assigned. Make additional copies of this schedule, if necessary. The assigned portions can comprise a portion of the market area or the spectrum authorized within the market, or both.

If the market is to be partitioned, complete Items 2-4 as applicable. If the market is to be disaggregated, complete Item 5. If the market is to be both partitioned and disaggregated, complete all items as applicable.

Item 1 Enter the Call Sign of the station that is to be partitioned or disaggregated (or both).

➤Geographic Area Partitioned

Item 2 If the area to be partitioned is defined according to the FCC rules, enter its description under Item 2. To obtain a list and description of defined areas, refer to the FCC Public Notice for the auction.

In some cases, more than one geographic area exists with same the name in the same state (*i.e.*, an independent city with the same name as a county in the same state). To partition these independent cities, you must enter its 5 digit FIPS code (2-digit state FIPS code + 3-digit county FIPS code). For example, in Virginia there is a Fairfax County and a Fairfax City. Fairfax City is an independent city and is not affiliated with Fairfax County. To partition the city of Fairfax, you must enter its FIPS code, 51600, as shown in the table below. To partition the county of Fairfax, you could enter its FIPS code or 'Fairfax, VA.' For a listing of FIPS codes, refer to "www.census.gov/datamap/fipslist/AllSt.txt".

<u>INDEPENDENT CITY NAME</u>	<u>FIPS CODE</u>
Baltimore MD	24510
ST. Louis, MO.	29510
Bedford, VA.	51515
Fairfax, VA.	51600
Franklin, VA.	51620
Richmond, VA.	51760
Roanoke, VA.	51770

Item 3 If the area to be partitioned is undefined, describe its periphery by completing the Undefined Geographic Area Schedule, FCC Form 603, Schedule C. Assign a unique number to each copy of Schedule C that is filed. Enter this number in both the Number field at the top of Schedule C and the Schedule C Attached field of Item 3 on Schedule B.

Item 4 Enter the population of the partitioned area.

➤Spectrum Disaggregated

Item 5 Authorized spectrum blocks can be disaggregated by assigning one or more frequency blocks. To assign a frequency, enter its lower value in MHz in the Lower Frequency field and enter its upper value in MHz in the Upper Frequency field. In this table, complete as many entries as you need to define the disaggregation completely.

➤Coverage Requirements - Partitioning

Item 6 Check the box that indicates which coverage requirements have been agreed upon by the partitioner and partitionee.

➤Coverage Requirements - Disaggregation

Item 7 Check the box that indicates which coverage requirements have been agreed upon by the disaggregator and disaggregatee.

Instructions for Undefined Geographical Area Partitioning Schedule FCC Form 603, Schedule C

FCC Form 603, Schedule C, is a supplementary schedule for use with the FCC Wireless Telecommunications Bureau Application for Assignments of Authorization and Transfers of Control, FCC Form 603. This schedule must be completed and attached if an undefined area is to be partitioned, as specified by Item 3 on the Partition and Disaggregation Schedule, FCC Form 603, Schedule B. Complete and attach a copy of Schedule C for *each* Call Sign that is being partitioned or disaggregated. If multiple undefined areas are requested, *each* undefined area should have a corresponding Schedule C.

This schedule further defines geographic areas that have not been defined by the FCC. It is used to enter the coordinates of locations that describe the periphery of a geographic area to be partitioned. Specifically, enter up to 120 pairs of latitude/longitude coordinates, one pair for every 3 degrees azimuth around the periphery. Coordinates of the specified locations must be referenced to the North American Datum of 1983 (NAD83).

Note: If the area is a defined geographic shape, the applicant can provide fewer than 120 coordinates, in which case the FCC will assume that these coordinate points are joined by straight lines. For example, a rectangle can be described by four coordinates, a hexagon by six coordinates, and so on.

Number At the top of this schedule, enter the same number that has been specified in Item 3 of the Partition and Disaggregation Schedule, FCC Form 603, Schedule B.

Latitude In this column, enter the latitudes of locations using the format *DD-MM-SS.S-D*, where the degrees (*DD*) term can have a value in the range of 0 to 90, minutes (*MM*) can range from 0 to 59, seconds (*SS.S*) can range from 0 to 59.9, and the direction (*D*) term is either N for North or S for South.

Longitude In this column, enter the corresponding longitudes of locations using the format *DDD-MM-SS.S-D*, where the degrees (*DDD*) term can have a value in the range of 0 to 180, minutes (*MM*) can range from 0 to 59, seconds (*SS.S*) can range from 0 to 59.9, and the direction (*D*) term is either E for East or W for West.

Appendix II

STATE TABLE

Abbreviations for States, Jurisdictions, and Areas

AL	Alabama	NJ	New Jersey
AK	Alaska	NM	New Mexico
AZ	Arizona	NY	New York
AR	Arkansas	NC	North Carolina
CA	California	ND	North Dakota
CO	Colorado	OH	Ohio
CT	Connecticut	OK	Oklahoma
DE	Delaware	OR	Oregon
DC	District of Columbia	PA	Pennsylvania
FL	Florida	RI	Rhode Island
GA	Georgia	SC	South Carolina
GM	Gulf of Mexico	SD	South Dakota
HI	Hawaii	TN	Tennessee
ID	Idaho	TX	Texas
IL	Illinois	UT	Utah
IN	Indiana	VT	Vermont
IA	Iowa	VA	Virginia
KS	Kansas	WA	Washington
KY	Kentucky	WV	West Virginia
LA	Louisiana	WI	Wisconsin
ME	Maine	WY	Wyoming
MD	Maryland	AS	American Samoa
MA	Massachusetts	GU	Guam
MI	Michigan	UM	Midway Island
MN	Minnesota	MP	Northern Mariana Islands
MS	Mississippi	PR	Puerto Rico
MO	Missouri	VI	Virgin Islands
MT	Montana	UM	Wake Islands
NE	Nebraska		
NV	Nevada		
NH	New Hampshire		

FCC Wireless Telecommunications Bureau
Application for Assignments of Authorization
and Transfers of Control

1) **Application Purpose** (Select only one) (**AA**)

AA - Assignment of Authorization TC - Transfer of Control	AM - Amendment WD - Withdrawal	NT - Required Notification (for Consummation of Assignment or Transfer) EX - Request for Extension of Time (to Consummate Assignment or Transfer)
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2a) If this request is for an Amendment or Withdrawal, enter the File Number of the pending application currently on file with the FCC.

File Number

2b) ~~File numbers of related pending applications currently on file with the FCC:~~

Type of Transaction

3a) Is this a *pro forma* assignment of authorization or transfer of control? (**Y**) Yes No

3b) If the answer to Item 3a is 'yes', is this a notification of a *pro forma* transaction being filed under the Commission's forbearance procedures for telecommunications licenses? (**Y**) Yes No

4) For assignments of authorization only, is this a partition and/or disaggregation? (**N**) Yes No

5) Does this filing request a waiver of the Commission's rules? (**N**) Yes No

6) Are attachments being filed with this application? (**Y**) Yes No

7a) Does the transaction that is the subject of this application also involve transfer or assignment of other wireless licenses held by the assignor/transferor or affiliates of the assignor/transferor (e.g., parents, subsidiaries, or commonly controlled entities) that are not included on this form and for which Commission approval is required? (**Y**) Yes No

7b) Does the transaction that is the subject of this application also involve transfer or assignment of non-wireless licenses that are not included on this form and for which Commission approval is required? (**N**) Yes No

Transaction Information

8) How will the assignment of authorization or transfer of control be accomplished? Select One: (**O**)

Sale or other assignment or transfer of stock

Court Order

Other (voting trust agreement, management contract, etc.): _____

If required by applicable rule, attach as an exhibit a statement on how control is to be assigned or transferred, along with copies of any pertinent contracts, agreements, instruments, certified copies of Court Orders, etc.

9) The assignment of authorization or transfer of control of license is: (**V**) Voluntary Involuntary

Licensee/Assignor Information

10a) Taxpayer Identification Number: **12-3456789**

10b) SGIN: **000**

11) First Name (if individual):

MI:

Last Name:

Suffix:

12) Entity Name (if not an individual: **SAFEDRIVER CAB INC**

SAFEWAY

13) Attention To:

14) P.O. Box:

And
/Or

15) Street Address: **17NW 6TH**

16) City: **OKEYDOKEY**

17) State: **OK**

18) Zip: **73102**

19) Telephone Number: (987-654-3210)

20) FAX: **(987-654-3201)**

21) E-Mail Address: **mgr@okcab.com**

22) Race, Ethnicity, and Gender of Assignor/Licensee (Optional):

Race:	American Indian or Alaska Native:	Asian:	Black or African-American:	Native Hawaiian or Other Pacific Islander:	White:
Ethnicity:	Hispanic or Latino:	Not Hispanic or Latino:			
Gender:	Female:	Male:			

Transferor Information (for transfers of control only)

23a) Taxpayer Identification Number:			23b) SGIN		
24) First Name (if individual):		MI:	Last Name:		Suffix:
25) Entity Name (if other than individual):					
26) P.O. Box:		And /Or	27) Street Address:		
28) City:			29) State:	30) Zip:	
31) Telephone Number:			32) FAX:		
33) E-Mail Address:					

Name of Transferor Contact Representative (if other than Transferor) (for transfers of control only)

34) First Name:		MI:	Last Name:		Suffix:
35) Company Name:					
36) P.O. Box:		And /Or	37) Street Address:		
38) City:			39) State:	40) Zip:	
41) Telephone Number:			42) FAX:		
43) E-Mail Address:					

Assignee/Transferee Information

44) Assignee is a(n): (<u>L</u>) <u>I</u> ndividual <u>C</u> orporation <u>U</u> ncorporated Association <u>L</u> imited Liability Corporation <u>T</u> rust <u>P</u> artnership <u>G</u> overnment Entity <u>C</u> onsortium <u>J</u> oint Venture					
45a) Taxpayer Identification Number: 98-7654321			45b) SGIN 111		
46) First Name (if individual):		MI:	Last Name:		Suffix:
47) Entity Name (if other than individual): FALCON MANAGEMENT SERVICES INC					
48) Name of Real Party in Interest:			49) TIN		
50) Attention To: LARRY OKEY					

51) P.O. Box:	And /Or	52) Street Address: 1549 SE 29TH
53) City: OKEYDOKEY	54) State: OK	55) Zip: 73129
56) Telephone Number: 405-222-2222	57) FAX: 405-222-2233	
58) E-Mail Address: lokey@falconmgmt.com		
Name of Assignee/Transferee Contact Representative (if other than Assignee/Transferee)		
59) First Name: JACOB	MI:	Last Name: GREEN Suffix:
60) Company Name: UNIVERSAL LICENSING ASSISTANCE INC		
61) P.O. Box: 54137	And /Or	62) Street Address:
63) City: LANDLOCKED	64) State: TX	65) Zip: 79453
66) Telephone Number: 806-777-7777	67) FAX: 806-777-7776	
68) E-Mail Address:		

Alien Ownership Questions

69) Is the Assignee or Transferee a foreign government or the representative of any foreign government?	(<input type="radio"/> N) <u>Yes</u> <input type="radio"/> No
70) Is the Assignee or Transferee an alien or the representative of an alien?	(<input type="radio"/>) <u>Yes</u> <input type="radio"/> No
71) Is the Assignee or Transferee a corporation organized under the laws of any foreign government?	(<input type="radio"/>) <u>Yes</u> <input type="radio"/> No
72) Is the Assignee or Transferee a corporation of which more than one-fifth of the capital stock is owned of record or voted by aliens or their representatives or by a foreign government or representative thereof or by any corporation organized under the laws of a foreign country?	(<input type="radio"/>) <u>Yes</u> <input type="radio"/> No
73) Is the Assignee or Transferee directly or indirectly controlled by any other corporation of which more than one-fourth of the capital stock is owned of record or voted by aliens, their representatives, or by a foreign government or representative thereof, or by any corporation organized under the laws of a foreign country? If 'Yes', attach exhibit explaining nature and extent of alien or foreign ownership or control.	(<input type="radio"/>) <u>Yes</u> <input type="radio"/> No

Basic Qualification Questions

74) Has the Assignee or Transferee or any party to this application had any FCC station authorization, license or construction permit revoked or had any application for an initial, modification or renewal of FCC station authorization, license, construction permit denied by the Commission? If 'Yes', attach exhibit explaining circumstances.	(<input type="radio"/> N) <u>Yes</u> <input type="radio"/> No
75) Has the Assignee or Transferee or any party to this application, or any party directly or indirectly controlling the Assignee or Transferee, or any party to this application ever been convicted of a felony by any state or federal court? If 'Yes', attach exhibit explaining circumstances.	(<input type="radio"/> N) <u>Yes</u> <input type="radio"/> No
76) Has any court finally adjudged the Assignee or Transferee, or any party directly or indirectly controlling the Assignee or Transferee guilty of unlawfully monopolizing or attempting unlawfully to monopolize radio communication, directly or indirectly, through control of manufacture or sale of radio apparatus, exclusive traffic arrangement, or any other means or unfair methods of competition? If 'Yes', attach exhibit explaining circumstances.	(<input type="radio"/> N) <u>Yes</u> <input type="radio"/> No
77) Is the Assignee or Transferee, or any party directly or indirectly controlling the Assignee or Transferee currently a party in any pending matter referred to in the preceding two items? If 'Yes', attach exhibit explaining circumstances.	(<input type="radio"/> N) <u>Yes</u> <input type="radio"/> No

78) Race, Ethnicity, and Gender of Assignee/Transferee (Optional):

Race:	American Indian or Alaska Native:	Asian:	Black or African-American:	Native Hawaiian or Other Pacific Islander:	White:
Ethnicity:	Hispanic or Latino:	Not Hispanic or Latino:			
Gender:	Female:	Male:			

Assignor/Transferor Certification Statements

1)	The Assignor or Transferor certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given, or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for <i>pro forma</i> assignments and transfers by telecommunications carriers. See <i>Memorandum Opinion and Order</i> , 13 FCC Rcd. 6293 (1998).
2)	The Assignor or Transferor certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.
79) Typed or Printed Name of Party Authorized to Sign	

First Name: LARRY	MI:	Last Name: OKEY	Suffix:
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80) Title: PRESIDENT

Signature: (MUST BE AN ORIGINAL SIGNATURE IF MANUALLY FILED)	81) Date: 12/22/99
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Assignee/Transferee Certification Statements

1)	The Assignee or Transferee certifies either (1) that the authorization will not be assigned or that control of the license will not be transferred until the consent of the Federal Communications Commission has been given, or (2) that prior Commission consent is not required because the transaction is subject to streamlined notification procedures for <i>pro forma</i> assignments and transfers by telecommunications carriers. See <i>Memorandum Opinion and Order</i> , 13 FCC Rcd. 6293 (1998).
2)	The Assignee or Transferee waives any claim to the use of any particular frequency or of the electromagnetic spectrum as against the regulatory power of the United States because of the previous use of the same, whether by license or otherwise, and requests an authorization in accordance with this application.
3)	The Assignee or Transferee certifies that grant of this application would not cause the Assignee or Transferee to be in violation of any pertinent cross-ownership, attribution, or spectrum cap rule.* *If the applicant has sought a waiver of any such rule in connection with this application, it may make this certification subject to the outcome of the waiver request.
4)	The Assignee or Transferee agrees to assume all obligations and abide by all conditions imposed on the Assignor or Transferor under the subject authorization(s), unless the Federal Communications Commission pursuant to a request made herein otherwise allows, except for liability for any act done by, or any right accrued by, or any suit or proceeding had or commenced against the Assignor or Transferor prior to this assignment.
5)	The Assignee or Transferee certifies that all statements made in this application and in the exhibits, attachments, or documents incorporated by reference are material, are part of this application, and are true, complete, correct, and made in good faith.
6)	The Assignee or Transferee certifies that neither it nor any other party to the application is subject to a denial of Federal benefits pursuant to Section 5301 of the Anti-Drug Abuse Act of 1988, 21 U.S.C. § 862, because of a conviction for possession or distribution of a controlled substance. See Section 1.2002(b) of the rules, 47 CFR § 1.2002(b), for the definition of "party to the application" as used in this certification.
7)	The applicant certifies that it either (1) has an updated Form 602 on file with the Commission, (2) is filing an updated Form 602 simultaneously with this application, or (3) is not required to file Form 602 under the Commission's Rules.

82) Typed or Printed Name of Party Authorized to Sign

First Name: LARRY	MI:	Last Name: OKEY	Suffix:
--------------------------	-----	------------------------	---------

83) Title: PRESIDENT

Signature: (MUST BE AN ORIGINAL SIGNATURE IF MANUALLY FILED)	84) Date: 12/22/99
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WILLFUL FALSE STATEMENTS MADE ON THIS FORM OR ANY ATTACHMENTS ARE PUNISHABLE BY FINE AND/OR IMPRISONMENT (U.S. Code, Title 18, Section 1001) AND/OR REVOCATION OF ANY STATION LICENSE OR CONSTRUCTION PERMIT (U.S. Code, Title 47, Section 312(a)(1)). AND/OR FORFEITURE (U.S. Code, Title 47, Section 503).

[illegible]

Assignments of Authorization

1) Assignee Eligibility for Installment Payments (for assignments of authorization only)

Is the Assignee claiming the same category or a smaller category of eligibility for installment payments as the Assignor (as determined by the applicable rules governing the licenses issued to the Assignor)? () Yes No

If 'Yes', is the Assignee applying for installment payments? () Yes No

2) Gross Revenues and Total Assets Information (if required) (for assignments of authorization only)

Refer to applicable auction rules for method to determine required gross revenues and total assets information

Year 1 Gross Revenues (current)	Year 2 Gross Revenues	Year 3 Gross Revenues	Total Assets:

3) Certification Statements

For Assignees Claiming Eligibility as an Entrepreneur Under the General Rule

Assignee certifies that they are eligible to obtain the licenses for which they apply.

For Assignees Claiming Eligibility as a Publicly Traded Corporation

Assignee certifies that they are eligible to obtain the licenses for which they apply and that they comply with the definition of a Publicly Traded Corporation, as set out in the applicable FCC rules.

For Assignees Claiming Eligibility Using a Control Group Structure

Assignee certifies that they are eligible to obtain the licenses for which they apply.

Assignee certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

For Assignees Claiming Eligibility as a Very Small Business, Very Small Business Consortium, Small Business, or as a Small Business Consortium

Assignee certifies that they are eligible to obtain the licenses for which they apply.

Assignee certifies that the applicant's sole control group member is a pre-existing entity, if applicable.

For Assignees Claiming Eligibility as a Rural Telephone Company

Assignee certifies that they meet the definition of a Rural Telephone Company as set out in the applicable FCC rules, and must disclose all parties to agreement(s) to partition licenses won in this auction. See applicable FCC rules.

Transfers of Control

4) Licensee Eligibility (for transfers of control)

As a result of the transfer of control, must the licensee now claim a larger or higher category of eligibility than was originally declared? () Yes No

If 'Yes', the new category of eligibility of the licensee is: _____

Certification Statement for Transferees

Transferee certifies that the answers provided in Item 4 are true and correct.

1) Partitioner/Disaggregator Call Sign:

Geographic Area Partitioned

2) Defined Area to be Partitioned	3) Undefined Area to be Partitioned (Complete undefined geographic area attachment)	4) Population of Partitioned Area
	Schedule C # Attached:	

5) Spectrum Disaggregated (in MHZ)

Spectrum Disaggregated		Spectrum Disaggregated		Spectrum Disaggregated	
Lower Frequency	Upper Frequency	Lower Frequency	Upper Frequency	Lower Frequency	Upper Frequency

6) Coverage Requirements - Partitioning

() Option 1	Partitioner and Partitionee each certify that they will be subject to the same coverage requirements for their respective partitioned areas.
() Option 2	Partitioner certifies that the 5 year coverage requirements have been or will be met and that the 10 year coverage requirements must be met for the entire license area. Partitionee certifies that only the substantial service requirement for renewal expectancy for the partitioned area must be met by the end of the 10 year license term.

7) Coverage Requirements - Disaggregation

() Option 1	Disaggregator and Disaggragatee each certify that the Disaggregator will maintain responsibility for meeting the applicable coverage requirements for the entire license area.
() Option 2	Disaggregator and Disaggragatee each certify that the Disaggragatee will maintain responsibility for meeting the applicable coverage requirements for the entire license area.
() Option 3	Disaggregator and Disaggragatee each certify that they will share responsibility for meeting the applicable coverage requirements for the entire license area.

Undefined Geographical Area Partitioning Schedule

For each undefined geographic area, complete up to 120 Latitude/Longitude combinations for every 3 degrees azimuth, using NAD83 Datum

Latitude (DD-MM-SS.S-D)		Longitude (DDD-MM-SS.S-D)		Latitude (DD-MM-SS.S-D)		Longitude (DDD-MM-SS.S-D)	
1			31				
2			32				
3			33				
4			34				
5			35				
6			36				
7			37				
8			38				
9			39				
10			40				
11			41				
12			42				
13			43				
14			44				
15			45				
16			46				
17			47				
18			48				
19			49				
20			50				
21			51				
22			52				
23			53				
24			54				
25			55				
26			56				
27			57				
28			58				
29			59				
30			60				

For each undefined geographic area, complete up to 120 Latitude/Longitude combinations for every 3 degrees azimuth, using NAD83 Datum

Latitude (DD-MM-SS.S-D)		Longitude (DDD-MM-SS.S-D)		Latitude (DD-MM-SS.S-D)		Longitude (DDD-MM-SS.S-D)	
61				91			
62				92			
63				93			
64				94			
65				95			
66				96			
67				97			
68				98			
69				99			
70				100			
71				101			
72				102			
73				103			
74				104			
75				105			
76				106			
77				107			
78				108			
79				109			
80				110			
81				111			
82				112			
83				113			
84				114			
85				115			
86				116			
87				117			
88				118			
89				119			
90				120			

**FCC 603
Schedule D**

instructions

estimate

**Schedule for Notification of Consummation of
Assignment of Authorization or Transfer of Control**

Approved by OMB
3060 - 0800
See Main Form

for public burden

Notification of Consummation of Assignment of Authorization or Transfer of Control

File Number of Assignment of Authorization or Transfer of Control:	Date of Consummation:
--	-----------------------

Do not separate form from instructions - Send in Entire page

**FCC 603
Schedule D**

instructions

estimate

**Schedule for Notification of Consummation of
Assignment of Authorization or Transfer of Control**

Approved by OMB
3060 - 0800
See Main Form

for public burden

Form FCC 603 Schedule D is a supplementary schedule for use with the FCC Application for Assignments of Authorization and Transfers of Control, FCC 603 Main Form. Complete this schedule to notify the FCC that, within the required time period, either an assignment of authorization or transfer of control has been consummated. The FCC 603 Main Form must be filed in conjunction with this schedule.

**Schedule D
Instructions**

In this section, enter the file number of the application for Assignment of Authorization or Transfer of Control, and the date that the transaction was consummated.

**FCC 603
Schedule E**

instructions

estimate

**Schedule for Request for Extension of Time for
Consummation of Assignment of Authorization
or Transfer of Control**

Approved by OMB
3060 - 0800
See Main Form

for public burden

Request for Extension of Time for Consummation of Assignment of Authorization or Transfer of Control

File Number of Assignment of Authorization or Transfer of Control:	New Consummation expiration date requested:
Attach exhibit describing circumstances/justification.	

Do not separate form from instructions - Send in Entire page

**FCC 603
Schedule E**

instructions

estimate

**Schedule for Request for Extension of Time for
Consummation of Assignment of Authorization
or Transfer of Control**

Approved by OMB
3060 - 0800
See Main Form

for public burden

Form FCC 603, Schedule E, is a supplementary schedule for use with the FCC Application for Assignments of Authorization and Transfers of Control, FCC 603 Main Form. Complete this schedule to request additional time to consummate an assignment of authorization or transfer of control. The FCC 603 Main Form must be filed in conjunction with this schedule.

**Schedule E
Instructions**

Enter the file number of the application for Assignment of Authorization or Transfer of Control, and the requested expiration date for the consummation. You must also attach an exhibit describing the circumstances and justification for this extension of time request.

WNXY387

ATTACHMENT Question #8

TO WHOM IT MAY CONCERN:

THE ASSIGNMENTS OF AUTHORIZATION FOR WNXY387 X Y Z
CAB CO INC IS BEING ACCOMPLISHED UNDER MANAGEMENT
CONTRACT WITH FALCON MANAGEMENT SERVICES LLC.

LARRY OKEY
PRESIDENT